

## Appendix B

### Diversity Impact Assessment: Screening Form

<b>Directorate</b>	<b>Name of Function or Policy or Major Service Change</b>		
<b>BSD</b>	<b>Treasury Management Strategy</b>		
Officer responsible for assessment		Date of assessment	New or existing?
Jonathan Lloyd		13/12/2019	Existing
<b>Defining what is being assessed</b>			
<b>1. Briefly describe the purpose and objectives</b>	The Treasury Management Strategy is the strategy that the Council applies to effectively manage its Treasury Function. This is defined by CIPFA <i>The management of the local authority's investments and cash flows, its banking, money market and capital market transactions; the effective control of the risks associated with those activities; and the pursuit of optimum performance consistent with those risks.</i> "		
<b>2. Who is intended to benefit, and in what way?</b>	All stakeholders with a safe and effective Treasury Management Strategy		
<b>3. What outcomes are wanted?</b>	The successful and secure management of the local authority's investments and cash flows, its banking, money market and capital market transactions; the effective control of the risks associated with those activities; and the pursuit of optimum performance consistent with those risks.		
<b>4. What factors/forces could contribute/detract from the outcomes?</b>	<u>Contribute</u> Effective Strategy, Good planning Effective use of information and intelligence	<u>Detract</u> Resources, Further cuts	
<b>5. Who are the main stakeholders?</b>	The Chief Finance Officer, Full Council and residents		
<b>6. Who implements this and who is responsible?</b>	Chief Finance Officer, and the Finance Operations and Strategy Teams		
<b>Assessing impact</b>			
<b>7. Are there concerns that there <u>could</u> be a differential impact due to <i>racial/ethnic groups</i>?</b>	YES		
	NO		
<b>What evidence exists for this?</b>	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact due racial or ethnic group membership.		

<b>8. Are there concerns that there <u>could</u> be a differential impact due to <i>disability</i>?</b>	YES	
	NO	
<b>What evidence exists for this?</b>	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact due disability.	
<b>9. Are there concerns that there <u>could</u> be a differential impact due to <i>gender</i>?</b>	YES	
	NO	
<b>What evidence exists for this?</b>	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact due gender.	
<b>10. Are there concerns there <u>could</u> be a differential impact due to <i>sexual orientation</i>?</b>	YES	
	NO	
<b>What evidence exists for this?</b>	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact due sexual orientation.	
<b>11. Are there concerns there <u>could</u> be a have a differential impact due to <i>religion or belief</i>?</b>	YES	
	NO	
<b>What evidence exists for this?</b>	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact due religion or belief.	
<b>12. Are there concerns there <u>could</u> be a differential impact due to people's <i>age</i>?</b>	YES	
	NO	
<b>What evidence exists for this?</b>	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact due to people's age.	
<b>13. Are there concerns that</b>	YES	

there <u>could</u> be a differential impact due to <i>being transgendered or transsexual</i> ?	NO	
What evidence exists for this?	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact due an individual's gender identity.	
14. Are there any <i>other</i> groups that would find it difficult to access/make use of the function (e.g. speakers of other languages; people with caring responsibilities or dependants; those with an offending past; or people living in rural areas)?	YES	
	NO	
What evidence exists for this?	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact.	
15. Are there concerns there <u>could</u> be a have a differential impact due to <i>multiple discriminations</i> (e.g. disability <u>and</u> age)?	YES	
	NO	
What evidence exists for this?	The Treasury Management Strategy does not directly impact on members of the public as it deals with the Treasury management functions of the authority. Decisions are based upon the principles highlighted within the Strategy and have no impact on any one particular group. Hence there will not be a differential impact.	

<b>Conclusions &amp; recommendation</b>		
16. Could the differential impacts identified in questions 7-15 amount to there being the potential for adverse impact?	YES	
	NO	
17. Can the adverse impact be justified on the grounds of promoting equality of opportunity for one group? Or another reason?	YES	
	NO	
Recommendation to proceed to a full impact assessment?		

<b>NO</b>	This function/ policy/ service change complies with the requirements of the legislation and there is evidence to show this is the case.	
<b>NO, BUT ...</b>	<b>What is required to ensure this complies with the requirements of the legislation? (see DIA Guidance Notes)?</b>	
<b>YES</b>	<b><i>Give details of key person responsible and target date for carrying out full impact assessment (see DIA Guidance Notes)</i></b>	
<b>Action plan to make Minor modifications</b>		
<b>Outcome</b>	<b>Actions (with date of completion)</b>	<b>Officer responsible</b>
<b>Planning ahead: Reminders for the next review</b>		
<b>Date of next review</b>	January 2020	
<b>Areas to check at next review (e.g. new census information, new legislation due)</b>		
<b>Is there <i>another</i> group (e.g. new communities) that is relevant and ought to be considered next time?</b>		
<b>Signed (completing officer/service manager) Jonathan Lloyd</b>	<b>Date</b>	13/12/2019
<b>Signed (service manager/Assistant Director) Phil Watts</b>	<b>Date</b>	14/12/2019