

## HEALTH AND WELLBEING BOARD 28 APRIL 2016

### KENT AND MEDWAY ADULT SAFEGUARDING BOARD ANNUAL PLAN 2016-17

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Adults

#### Summary

The Care Act 2014 came into force on 1 April 2015 and placed Safeguarding Adults on a statutory footing. It introduced a statutory duty for each Local Authority to establish a Safeguarding Adults Board (SAB). Medway Council's duty is met through a joint SAB with Kent County Council, The Kent and Medway Safeguarding Adults Board (KMSAB).

The Care Act states that SABs must produce an Annual Plan and Annual Report. The KMSAB Strategic Plan 2015-2018 defines the Board's responsibilities, principals, values, local vision, priorities and responsibilities. The KMSAB Annual Plan 2016-17 outlines specific key actions and supporting actions to deliver the Board's priorities. It demonstrates how the KMSAB will prevent abuse and neglect, respond effectively to people with care and support needs experiencing or at risk of abuse or neglect, along with raising awareness of Safeguarding Adults.

In accordance with the joint protocol between strategic partnerships in Medway, this paper is being submitted to the Health and Wellbeing Board (HWB) to present the KMSAB Annual Plan for 2016-17 and provide an opportunity for external scrutiny. The KMSAB Annual Report 2015-16 will be presented to the HWB later this year, upon completion.

#### 1. Budget and Policy Framework

1.1 The Care Act 2014 came into force on 01 April 2015. It is a fundamental law reform that repealed key pieces of legislation, consolidating the legislative framework for Adult Social Care. The Care Act promotes the principles of prevention, wellbeing, independence and a person centred approach.

The Care Act places Safeguarding Adults on a statutory footing and defines the responsibilities of Local Authorities and our key partners.

It repeals the Safeguarding Adults Statutory Guidance "No Secrets" which was published by The Department of Health (DOH) in 2000 and is supported by The "Care and Support Statutory Guidance" ( CaSSG ) DOH 2014.

The CaSSG defines Safeguarding Adults as follows:

"Adult safeguarding means protecting a person's right to live in safety, free from abuse and neglect." (CaSSG Section 14.1)

#### 1.2 Key principles of Safeguarding Adults:

The CaSSG sets out the six key principles of Safeguarding Adults -

- > Empowerment
- Protection
- > Proportionality
- Prevention
- Partnership
- Accountability

#### 1.3 Care Act duties

The Care Act introduced the following statutory requirements related to Safeguarding Adults:

#### 1.3.1 Duty to make enquiries

Section 42 outlines that the Local Authority has a duty to make enquiries, or cause others to do so, if an adult -

- ➤ Has needs for care and support ( whether or not the Local Authority is meeting any of those needs) and;
- > Is experiencing, or at risk of, abuse or neglect; and
- ➤ As a result of those care and support needs is unable to protect themselves from either the risk of, or the experience of abuse or neglect.

#### 1.3.2 Establish a Safeguarding Adults Board

Section 43 (1) of The Care Act states that "Each Local Authority must establish a Safeguarding Adults Board (an "SAB") for its area"

The CaSSG section 14.103 states that "The objective of a SAB is to help and protect adults who have needs for care and support, who are experiencing or are at risk of abuse or neglect, and as a result of their needs are unable to protect themselves from abuse or neglect. This is whether or not the adult is having their needs met or they meet the local authority's eligibility criteria for care and support services."

#### 1.3.3 Specific Functions of a SAB

Section 14.100 of The CaSSG outlines the three main functions of a SAB -

- ➢ It must publish a Strategic Plan for each financial year that sets out how it will meet its main objective and what the members will do to achieve this. The SAB must consult the local Healthwatch organisation and local community. The plan should be evidencebased and make use of all available evidence and intelligence from partners.
- ▶ It must publish an Annual Report detailing what the SAB has done during the year to achieve its main objectives and implement its Strategic Plan, and what each member has done to implement the strategy as well as detailing the findings of any Safeguarding Adults Reviews (SARs) or ongoing reviews.
- ➤ The SAB must carry out a SAR in the following circumstances

"When an adult in its area dies as a result of abuse or neglect, whether known or suspected, and there is concern that partner agencies could have worked more effectively to protect the adult." (CaSSG Section 14.122)

"If the same circumstances apply where an adult is still alive but has experienced serious neglect or abuse. SABs are free to arrange for a SAR in other situations where it believes there will be value in doing so. (CaSSG Section 14.123.)

#### 1.3.4 Duty to cooperate

"Local authorities must cooperate with each of their relevant partners, and those partners must cooperate with the local authority, in order to protect adults with care and support needs experiencing or at risk of abuse or neglect." (CaSSG Section14.26)

Relevant partners are outlined as follows -

- Any other Local Authority where appropriate
- > NHS England
- Clinical Commissioning Groups
- > NHS Trusts and NHS Foundation Trusts
- Job Centres
- Police
- Prisons
- Probation Services

The six principles of adult safeguarding apply to all sectors and settings including care and support services, social work, healthcare, welfare, housing providers and the police. (CaSSG Section 14.28)

#### 1.3.5 Offer Advocacy

The Care Act places a duty on Local Authorities to "arrange, where appropriate, for an independent advocate to represent and support an adult who is the subject of a safeguarding enquiry or Safeguarding Adult Review where the adult has 'substantial difficulty' in being involved in contributing to the process and where there is no other appropriate adult to assist. A person who is engaged professionally to provide care or treatment for the adult in question cannot be an advocate." (CaSSG Section 14.76)

#### 1.3.6 Making Safeguarding Personal (MSP)

The CaSSG embeds this concept into Safeguarding Adults and SABs must implement this across all Safeguarding Adults activity.

This approach captures the adult's personal outcomes and places them at the centre of safeguarding where possible, to optimise their participation, choice, control, quality of life, wellbeing and safety. An adult's level of mental capacity is taken into account and the principles of the Mental Capacity Act 2005 are applied.

#### 1.3.7 The Mental Capacity Act 2005

An adult's view, including their wishes and preferences regarding the safeguarding concern is a core element of Safeguarding Adults practice. Adults must be assumed to have mental capacity unless it can be proven otherwise. The Mental Capacity Act 2005 provides the legal basis upon which decisions can be made, in accordance with its underlying principles, to optimise the safety of the adult who lacks capacity related to a specific decision. If an adult has capacity they have the right to make unwise decisions.

- **1.4** The joint protocol between strategic partnerships in Medway states that the KMSAB Annual Plan must be presented to the HWB to ensure co –ordination and coherence.
- **1.5** The KMSAB has a pooled budget made up of contributions from its key partners -
  - ➤ Kent County Council
  - Medway Council
  - ➤ NHS Kent (split between the Clinical Commissioning Groups, Acute Trusts and Community Trusts)
  - Kent Police
  - Kent Fire and Rescue Service

#### 2. Background

Medway Council currently meets its statutory duty by a shared arrangement with Kent County Council through the KMSAB.

The KMSAB Annual Report 2014-15 outlines its key membership as follows - Kent County Council, Medway Council, Kent Police, Acute Trusts, Clinical Commissioning Groups (CCGs), Community Health Trusts, Kent and Medway NHS and Social Care Partnership Trust, Kent Probation, Kent Fire and Rescue Service, Prison Service, both Kent and Medway Community Safety Partnerships, District Councils, Members from both Kent County Council and Medway Council and representatives from independent provider organisations.

The KMSAB appointed an independent chair, Deborah Stuart Angus, in December 2015.

#### 2.1 Frequency and model

The KMSAB meets quarterly and is supported by four subgroups:

- Learning and Development Working Group
- Quality Assurance Working Group
- Safeguarding Adult Review Subgroup
- > Policy, Protocols and Guidance Review Group

The KMSAB has produced "The Kent and Medway Safeguarding Adult's Multi Agency Policy, Protocols and Guidance".

#### 3. The KMSAB Annual Plan for 2016-17

- 3.1 The KMSAB Strategic Plan 2015-2018 (Appendix 1) defines the Board's overarching responsibilities, principles, values, local vision and priorities. The Board's priorities correspond with the six key principles related to Safeguarding Adults as outlined in section 1.2.
- 3.2 The KMSAB Annual Report provides an overview of work carried out by the KMSAB. It celebrates achievements and defines priorities for the coming year to prevent abuse and neglect, respond effectively to people with care and support needs experiencing or at risk of abuse or neglect, along with raising awareness of safeguarding adults.

The KMSAB Annual Report for 2015-16 will be published in the coming months.

3.3 The KMSAB Annual Plan 2016-17 (Appendix 2) was signed off at the KMSAB on 09 March 2016. It outlines specific key actions and supporting actions informed by the Board's strategic priorities, along with timescales and the Board Members responsible for each action. Progress will be monitored at each KMSAB and a comprehensive analysis detailed in the Annual Report for 2016-17. Following the appointment of the new KMSAB Independent Chair, the priorities and direction of the KMSAB may change. As a result, the KMSAB Annual Plan will be reviewed in September 2016 and updated as required.

The Annual Plan is informed by -

- ➤ The Care Act 2014 (as outlined in section 1 of this report)
- > Data from the KMSAB Annual Report
- Performance information and data analysis (a dashboard is submitted to the KMSAB by partner agencies)
- ➤ Learning from Safeguarding Adult Reviews (there was one KMSAB commissioned SAR in 2015-16)
- ➤ KMSAB sub group work programmes ( sub groups as outlined in section 2.2 )
- 3.4 The KMSAB Annual Plan for 2016-17 outlines the following actions
  - Empowerment Individuals will be asked what they want as the outcomes from the safeguarding process and these outcomes will directly inform what happens whenever possible.
    - Outcomes to be achieved People at risk of harm and/or their representatives are involved in the Safeguarding Adult's process in accordance with the principals of MSP.

Outcomes are improved for people at risk of harm.

**Actions required** – Utilise performance and audit information to ensure this outcome is met. Any concerns identified will require remedial action and presentation to the KMSAB.

Monitor the impact of advocacy through feedback from Commissioners.

Implementation of easy read meeting documentation.

Plan and deliver multi agency safeguarding awareness week.

Utilise information from MSP feedback to inform training and development.

- Protection Individuals will get help and support to report abuse and neglect and get help to take part in the safeguarding process.
  - Outcome to be achieved The Public, service users, patients, carers, workers and volunteers in Kent and Medway are aware of how to report abuse or neglect.

**Actions required** - Continue to develop the KMSAB website.

Plan and deliver multi agency safeguarding awareness week.

Review and amend safeguarding literature.

• Outcome to be achieved – Adults at risk who have substantial difficulty in participating in the Safeguarding Adult process are appropriately supported and represented.

**Actions required** – KMSAB will receive regular updates from Local Authorities to provide assurance that implementation of the Care Act in relation to advocacy has been fulfilled.

Implementation of easy read meeting documentation.

• Outcome to be achieved – Staff in contact with adults understand their roles and responsibilities in responding to abuse and neglect.

**Actions required** – KMSAB partner agencies update their single agency Safeguarding Adults policy and procedures to reflect the KMSAB multi agency policy, procedures and guidance.

Review policies, procedures and practice guidance to reflect emerging legislation, policy or learning from SARs/other case reviews.

Policies are developed to support practitioners to respond to allegations of abuse. Workshops will support the implementation of new polices where required.

Commission multi agency training, monitor attendance and take action as appropriate.

 Outcome to be achieved – Adults at risk are protected by the law and get access to justice.

**Actions required –** Review the number of Safeguarding Adult enquiries and their outcomes.

Work with agencies and provide training to ensure concerns are referred to the Police as appropriate.

Continue to commission specialised training for joint investigations with the Police.

- Proportionality Individuals will be confident that professionals will work for their best interests and that professionals will only get involved as much as is needed.
  - Outcomes to be achieved Threshold guidance is clear and consistently applied.

Repeated lower level concerns are addressed through alternative quality initiatives, i.e. Quality in Care Policy and Protocols.

**Actions required –** All member agencies routinely check that work identified as Adult Safeguarding meets the criteria outlined in the KMSAB policy, procedures and guidance and ensure that for low level concerns other initiatives are explored i.e. Quality in Care Policy and Protocols.

Explore the possibility of multi – agency audits.

- Prevention Individuals will receive clear information about what abuse and neglect is, how to recognise the signs and what they can do to seek help and support.
  - Outcome to be achieved People from across all agencies have the skills and competence to respond to concerns of abuse and neglect.

**Action required –** Produce an annual work plan and implement a programme of learning.

• Outcome to be achieved - Members of the KMSAB are supported to fulfil their role.

**Action required** – A half day development session and the development of a handbook for Board members.

• Outcome to be achieved - Raise awareness and engage in work with the Kent Safeguarding Children's Board ( KSCB ) and Medway Safeguarding Children's Board ( MSCB ) to ensure that adults who are at risk of Sexual Exploitation, Modern Slavery and Human Trafficking are identified and appropriately supported.

**Actions required –** Multi agency procedures to be published and promoted through workshops.

Liaison with KSCB and MSCB to ensure policy and procedures are aligned.

Monitor performance systems to ensure cases are being managed appropriately. Any concerns about the management of cases will be referred to the KMSAB with a supporting remedial action plan.

• Outcome to be achieved – All staff are familiar with the Mental Capacity Act 2005 ( MCA ) and its importance in safeguarding people aged over16.

**Action required –** Assurance is provided that all staff working with those aged over 16 years undertake MCA training.

- Partnership Individuals will be confident that professionals will work together to get the best outcomes for them. They will also be confident that staff treat any personal and sensitive information in confidence, only sharing what is helpful and necessary.
  - Outcome to be achieved The KMSAB constitution is up to date and is clear about governance and accountability.

**Actions required –** Review the KMSAB governance arrangements, KMSAB membership along with KMSAB sub group Chairs and Terms of Reference.

Review and update the KMSAB information Sharing Agreement.

Review and update the partnership agreement, ensuring there are effective links with other strategic forums.

The KMSAB to ensure that there are appropriate resources available to support the implementation of the KMSAB Annual Plan.

• Outcome to be achieved – Adults are safeguarded through strong working partnerships.

**Actions required -** Agencies to complete a self assessment and develop an improvement plan where required. The plan will be monitored bi annually.

SARs commissioned by the KMSAB are presented to ensure recommendations are actioned and learning is disseminated across the partnership, along with being integrated into training.

Partner agencies to complete and present an Annual Overview report to the KMSAB.

Providers contracted with Kent County Council and Medway Council will be advised that they may be asked to undertake Safeguarding Adult enquiries on behalf of the Local Authority.

- Accountability Individuals will receive timely help they need from the person or agency best placed to provide it.
  - Outcome to be achieved Safeguarding is embedded at all levels of partner organisations and leaders set an example to others.

**Actions required** - KMSAB members to provide evidence through the Annual Overview Report and self assessment.

Senior Executive Team/Boards will receive appropriate training in relation to Safeguarding Adults.

• Outcome to be achieved – To ensure that safeguarding arrangements act to help and protect adults at risk.

**Action required -** A performance dashboard is presented to the KMSAB. An exception report is submitted if there are concerning patterns or trends.

• Outcome to be achieved – The KMSAB demonstrates strong leadership by challenging itself and the organisations represented on it.

**Actions required –** A Self assessment and dashboard completed by partner agencies.

An Annual Report is produced.

Monitor risks associated with the KMSAB and associated sub groups, via the KMSAB Annual Plan.

Multi agency audits to be explored.

• Outcome to be achieved – The KMSAB learns from SARs and as a result policy, procedures and practice improve.

**Actions required –** Monitor the implementation of multi agency recommendations and action plans of SARs or other cases.

Address learning identified by SARs in other areas.

#### 4. Medway Safeguarding Adults Executive Group

- 4.1 The KMSAB Annual Plan spans across Kent and Medway. The partnership between Kent and Medway has been a supportive one and has proven to be an impetus for improvement in protecting people who experience abuse and neglect, Kent and Medway wide. However, the current arrangements do not focus sufficiently on issues specific to Medway as a discrete and separate place. The governance arrangements within the KMSAB do not adequately support the focus and challenge around the effectiveness and quality of the safeguarding adult arrangements delivered at a local level in Medway.
- 4.2 To strengthen the delivery and local oversight of the strategic priorities for Safeguarding Adults in Medway, the Local Authority, as lead agency in Medway, is in the process of establishing a Medway Safeguarding Adults Executive Group (MSAEG). This executive group will have multi agency representation and will enhance engagement with adults with care and support needs and their carers in Medway; strengthen local governance arrangements and improve inter-agency collaboration and coordination with

key partners. Terms of Reference have been drafted and an introductory meeting is planned for May 2016.

- 4.3 The members of the MSAEG will be
  - Deputy Director of Children and Adults, Medway Council
  - ➤ Head of Service Quality Assurance and Safeguarding, Medway Council
  - > Detective Superintendent Kent Police
  - Clinical Commissioning Group (CCG)
  - ➤ Head of Safer Communities and Greenspaces
  - Principal Officer Safeguarding Adults, Medway Council
  - Performance Analyst, Business Support Development, Medway Council
  - Healthwatch Medway
  - Medway resident representation
- 4.4 The MSAEG will enable more effective alignment with other boards that operate locally. This will lead to improved communication, shared local data and effective joint working regarding existing or emerging themes to ensure resources are targeted and deployed appropriately to prevent abuse of children and adults and respond effectively when this occurs.
- 4.5 The MSAEG will collate and analyse local data. Interrogation of local qualitative and quantitative data from Medway residents and operational staff will provide a greater understanding of Safeguarding Adult issues pertinent to Medway. This data set, underpinned by the principles of Making Safeguarding Personal, will support the continual development of local Safeguarding Adult pathways within a robust inter agency framework. The MSAEG will strengthen Medway's ability to raise awareness and prevent abuse and neglect where possible, along with providing a coordinated, timely, effective and proportionate response when abuse has occurred; promoting the rights and optimising the wellbeing of Medway residents. The KMSAB Annual Plan will inform a localised plan for the MSAEG.
- 4.6 A performance dashboard for Adult Social Care has been developed which includes specific metrics for Safeguarding Adults. These are reviewed monthly within the division and quarterly by corporate monitoring of the Council Plan.
- 4.7 The Adult Social Care Safeguarding workflows and episodes on Framework i, the Social Care information system, have been streamlined and will now support effective data collection and analysis which will inform key priorities set by the MSAEG.
- 4.8 In accordance with the principals of MSP, Framework i has also been updated to record an adult's personal outcomes and to measure if they have been achieved. An end of safeguarding questionnaire for adults, a "Safeguarding and you booklet" and a checklist for practitioners has also been implemented. Advocacy Service usage is being monitored, easy read minutes, a script for practitioners and a staff questionnaire to inform MSP staff training is also being developed by the Medway multi agency MSP Steering Group, chaired by the Interim Principal Officer for Safeguarding Adults.

- 4.9 The Medway Council Adult Social Care Strategy 2016 2020 will include Safeguarding as one of its six strategic themes, and this will incorporate the objectives to be met within the KMSAB Strategic Plan.
- 4.10 The Interim Principal Officer for Safeguarding Adults is leading a Task and Finish group to review and redesign local Safeguarding Adult pathways and Framework i episodes/workflows. Once this work is complete and embedded, a comprehensive audit process will be implemented to ensure compliance, identify training and development needs for practitioners, along with areas for local policy and service development.

#### 5. Advice and analysis

- 5.1 In accordance with the joint protocol between strategic partnerships in Medway, this paper is being submitted to the HWB to present the KMSAB Annual Plan for 2016-17, to ensure co-ordination and cohesion and provide an opportunity for external scrutiny.
- 5.2 There are no structure or policy implications.

#### 6. Risk management

6.1 There are no specific risks associated with the implementation of the KMSAB Annual Plan. The plan provides a framework to demonstrate how the KMSAB will prevent abuse and neglect, respond effectively to people with care and support needs experiencing or at risk of abuse or neglect, along with raising awareness of Safeguarding Adults.

#### 7. Consultation

7.1 The KMSAB Annual Plan was amended by the KMSAB Quality Assurance Working Group then circulated to the KMSAB Policy, Procedures and Guidance Group, and the KMSAB Learning and Development Group. Feedback from these groups was incorporated as appropriate. Thereafter, the KMSAB Annual Plan was presented and agreed at the KMSAB on 09 March 2016.

#### 8. Financial implications

8.1 The KMSAB has a pooled budget made up of contributions from its key partners. Medway Council contribute to the KMSAB budget from the Medway Council Safeguarding Adults Budget within the Children and Adults Social Care Directorate. There are no further budgetary implications related to the KMSAB Annual Plan 2016-17.

#### 9. Legal implications

9.1 The KMSAB Strategic Annual Plan 2016-17 will support Medway Council to meet its statutory duties as outlined in the Care Act 2014. There are no other legal implications to consider at this time.

#### 10. Recommendation

10.1 The HWB is asked to review the KMSAB Annual Plan 2016-17 and provide comment.

#### Lead officer contact

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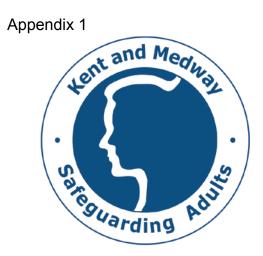
#### **Appendices**

Appendix 1 - Kent and Medway Safeguarding Adults Board Strategic Plan 2015-2018

Appendix 2 - Kent and Medway Safeguarding Adults Board Annual Plan 2016-17

#### **Background papers**

Care and Support Statutory Guidance (Department of Health 2014)



## Kent and Medway Safeguarding Adults Board Strategic Plan 2015-2018

"Safeguarding is everyone's responsibility"

June 2015.

#### What is Safeguarding?

"Safeguarding means protecting an adult's right to live in safety, free from abuse and neglect. It is about people and organisations working together to prevent and stop both the risks and experience of abuse or neglect, while at the time making sure that the adult's wellbeing is promoted including, where appropriate, having regard to their views, wishes, feelings and beliefs in deciding on any action." Care Act (2014)

#### What is the Kent and Medway Safeguarding Adults Board?

The Kent and Medway Safeguarding Adults Board (K&M SAB) has been established since October 2007. The Board works to make sure that all agencies are working together to help keep Kent and Medway's adults safe from harm and to protect the rights of citizens under the Mental Capacity Act 2005. The enactment and implementation of the Care Act 2014, placed Safeguarding Adults Boards on a statutory basis from April 2015.

Kent and Medway Safeguarding Adults Board is chaired by an Independent Chair, Deborah Stuart Angus. The Board meets four times a year.

This document sets out the Board's Strategic Plan for the next three years.

#### **Members**

The Kent and Medway Safeguarding Adults Board includes representatives from:

- Kent County Council
- Medway Council
- Kent Police
- Healthcare Providers
- Clinical Commissioning Groups
- Healthwatch Kent
- Healthwatch Medway
- National Probation Service (NPS) Kent Local Delivery Unit
- Kent, Surrey, Sussex Community Rehabilitation Company (KSS CRC)

- Kent Fire and Rescue Service
- Prison Service
- Kent Community Safety Partnership
- Medway Community Safety Partnership
- District Councils
- elected Members from both Kent County Council and Medway Council
- Independent provider organisations

#### Responsibilities

In line with the Care Act 2014, the Kent and Medway Safeguarding Adults Board's main objective is to:

- assure itself that local safeguarding arrangements and partners act to help and protect adults in its area who
  - have needs for care and support (whether or not the local authority is meeting any of those needs); and
  - are experiencing, or at risk of, abuse or neglect; and
  - as a result of those care and support needs is unable to protect themselves from either the risk of, or the experience of abuse and neglect.

Under the Care Act 2014, the Board has three core duties:

- It must publish a Strategic Plan for each financial year that sets out how it will meet its main objective and what the members will do to achieve this. The plan must be developed with local community involvement, and the Safeguarding Adults Board (SAB) must consult the local Healthwatch organisation. The plan should be evidence based and make use of all available evidence and intelligence from partners to form and develop its plan.
- It must publish an Annual Report detailing what the SAB has done during the year to achieve its main objective and implement its Strategic Plan, and what each member has done to implement the strategy as well as detailing the findings of any Safeguarding Adults Reviews and subsequent action Safeguarding Adults Annual Report (PDF, 1.8 MB).
- It must conduct any Safeguarding Adults Reviews in accordance with Section 44 of the Care Act.

The Kent and Medway Safeguarding Adults Board will also:

- identify the role, responsibility, authority and accountability with regard to the action each agency and professional group should take to ensure the protection of adults, by publishing agreed multi-agency policy, protocols and guidance
- establish ways of analysing and interrogating data on safeguarding notifications that increase the SAB's understanding or prevalence of abuse and neglect locally that builds up a picture over time
- establish how it will hold partners to account and gain assurance of the effectiveness of arrangements
- determine its arrangements for peer review and self-audit
- establish mechanisms for developing policies and strategies for protecting adults which should be formulated, not only in collaboration and consultation with all relevant agencies, but also take account of the views of adults who have needs for care and support, their families, advocates and carer representatives
- develop preventative strategies that aim to reduce instances of abuse and neglect in Kent and Medway
- identify types of circumstances giving grounds for concern and when they should be considered as a referral to the local authority as an enquiry
- formulate guidance about the arrangements for managing adult safeguarding, and deal with complaints, grievances and professional and administrative malpractice in relation to safeguarding adults
- develop strategies to deal with the impact of issues of race, ethnicity, religion, gender and gender orientation, sexual orientation, age, disadvantage and disability on abuse and neglect
- balance the requirements of confidentiality with the consideration that, to protect adults, it may be necessary to share information on a 'need-to-know' basis
- identify mechanisms for monitoring and reviewing the implementation and impact of policy and training
- carry out Safeguarding Adult Reviews
- produce a Strategic Plan and an Annual Report
- evidence how SAB members have challenged one another and held other boards to account
- promote multi-agency training and consider any specialist training that may be required. The Board must work collaboratively to identify opportunities to jointly commission training with other partnerships, such as the Community Safety Partnership

The Counter-Terrorism and Security Act 2015 contains a duty on specified authorities to have due regard to the need to prevent people from being drawn into terrorism. The Kent and Medway Safeguarding Adults Board receives regular updates from PREVENT and works closely with colleagues to raise awareness.

#### **Principles and values**

The Kent and Medway Safeguarding Adults Board multi-agency partnership is supported by the following principles and values:

- It is every adult's right to live free from abuse in accordance with the principles of respect, dignity, autonomy, privacy and equity
- All agencies and services should ensure that their own policies and procedures make it clear that they have a zero tolerance of abuse
- Priority will be given to the prevention of abuse by raising the awareness of adult protection issues and by fostering a culture of good practice through support and care provision, commissioning and contracting
- Adults who are susceptible or subjected to abuse or mistreatment will receive the highest priority for assessment and support services
- All agencies will respond to adult protection concerns with prompt, timely and appropriate action in line with agreed protocols
- These principles are applicable to all adults whether living in a domestic setting, care home, social services or health setting or any community setting
- Protection of adults experiencing, or at risk of, abuse or neglect, is a multi-agency responsibility and all agencies and services should actively work together to address the abuse of adults
- Interventions should be based on the concept of empowerment and participation of the individual at risk
- These principles should constitute an integral part of the philosophy and working practices of all agencies involved with adults experiencing, or at risk of, abuse or neglect, and should not be seen in isolation
- It is the responsibility of all agencies to take steps to ensure that adults experiencing, or at risk of, abuse or neglect, are discharged from their care to a safe and appropriate setting
- The need to provide support for the carers must be taken into account when planning services for adults experiencing, or at risk of, abuse or neglect, and a carer's assessment should be offered

These principles are based upon a commitment to equal opportunities and practice in respect of race, culture, religion, disability, gender, age or sexual orientation.

The well established Safeguarding Adults Board gives Kent and Medway a strong foundation to deliver its vision. Many partner organisations in Kent and Medway have dedicated capacity/roles for safeguarding adults and many staff and volunteers have undertaken multi-agency training and single-agency safeguarding adults training. This all helps to ensure that people know how to respond appropriately to concerns of abuse and neglect.

The Kent and Medway Safeguarding Adults Board is subject to external scrutiny on the work it undertakes from the:

- Full Council (Kent County Council and Medway Council);
- Adult Social Care and Health Cabinet Committee; and
- · Health and Wellbeing Board.

Board members also report within their own agencies about the performance of the Kent and Medway Safeguarding Adults Board.

#### Why a local vision?

There continues to be an increasing focus on the profile of safeguarding adults work. It is clear from national developments that partnerships are a critical aspect in sustaining the impetus for improvement and hence the importance of setting out a local vision for Kent and Medway.

This document sets out Kent and Medway Safeguarding Adults Board's vision for 2015 - 2018. The vision sits alongside a number of other key documents, enabling the Board to strategically review and plan work.

Each provides direction and continuity to the Strategic Plan, ensuring that the achievements of the Board are built upon each year and actions are focussed on the Board's overall priorities and objectives.

Kent and Medway Safeguarding Adults Board Vision 2015 – 2018 – sets out the overall vision of the Board and the outcomes it wants to achieve for adults at risk in Kent and Medway.

**Kent and Medway Safeguarding Adults Board Priorities 2015 – 2018** – establishes the strategic themes that need to be delivered to achieve the Board's vision; providing the overarching direction to inform subsequent years Strategic Plans.

**Kent and Medway Safeguarding Adults Board Annual Plan 2015 – 2016** – provides a detailed plan of specific key actions, supporting actions and target timescales required to deliver the Board's vision and priorities.

**Kent and Medway Safeguarding Adults Board Annual Report** – reviews progress in relation to the actions laid out in the Strategic Plan.

The Kent and Medway Safeguarding Adults Board has worked to promote an understanding and taken action to demonstrate that 'safeguarding is everyone's responsibility'. The development of this vision marks the commitment from partners for a shared commitment to keeping adults safe and protected from abuse and neglect.

#### **Kent and Medway Safeguarding Adults Board Vision**

'Our vision is to ensure that Kent and Medway is an increasingly safer place for adults at risk of abuse and neglect.'

To achieve this vision the Board will need to work throughout the partnership and with local communities to:

- Prevent abuse and neglect from happening
- Identify and report abuse and neglect
- · Respond to any abuse and neglect that is occurring
- Support people who have suffered abuse or neglect to recover and to regain trust in those around them
- Raise awareness of safeguarding adults and the role everyone can play in responding to, and preventing, abuse and neglect

#### **National Drivers for Improvement in Safeguarding Adults**

The Kent and Medway Safeguarding Adults Board priorities reflect the direction set out in current national drivers for change. For this reason the priorities are designed around the six key principles that underpin all adult safeguarding work (Care Act 2014) as follows:

- Empowerment
- Protection
- Proportionality
- Prevention
- Partnership
- Accountability

#### **Priorities**

The Board's priorities reflect the Board's vision and provide direction to the further development and improvement of safeguarding practice in Kent and Medway.

#### Priority – Empowerment

Individuals will be asked what they want the outcomes from the safeguarding process to be and these outcomes will directly inform what happens wherever possible.

The Board will continue working towards supporting people to manage risk in their own lives, with professionals supporting their decision making at each stage of Kent and Medway's safeguarding adult's procedures.

This will be achieved by delivering good outcomes for individuals subject to safeguarding adults procedures. There will be an emphasis on reducing focus on process and increasing focus on the individual. The Board will also ensure that there is a greater public awareness of safeguarding adults, whilst also managing expectations. A coordinated response is important to help increase the safety of vulnerable adults.

#### Priority - Protection

Individuals will get help and support to report abuse and neglect and get help to take part in the safeguarding process.

The Board will continue working towards ensuring safeguarding adults procedures serve to respond to abuse or neglect and that decisions are made in line with the Mental Capacity Act.

This will be achieved by ensuring that there is a full range of policies, procedures and guidance in place that provide a framework within which partner organisations can work together effectively to respond to abuse and neglect. These policies, procedures and guidance will be reviewed regularly to reflect emerging developments in national guidance and legislation as well as national, regional and local learning, and new approaches to safeguarding practice. Individuals will receive clear information about what abuse and neglect is, how to recognise the signs and what they can do to prevent and then seek help and support.

#### Priority – Proportionality

Individuals will be confident that professionals will work for their best interests and that professionals will only get involved as much as needed.

The Board will continue working towards ensuring that safeguarding adults policies, procedures and guidance are used in appropriate circumstances to inform a proportional response to the concerns being raised.

This will be achieved by ensuring safeguarding adults policies, procedure and guidance are clear and explicit about the definitions and thresholds for intervention and what the potential alternatives are if these thresholds are not met. The Board will also ensure that thresholds are consistently applied by all partner agencies.

#### Priority – Prevention

Individuals will receive clear information about what abuse and neglect is, how to recognise the signs and what they can do to seek help and support.

The Board will continue working towards gaining assurance from all partner agencies that prevention is a core element in the delivery, commissioning and development of services. This includes providing appropriate information and training to their respective workforces on how to recognise and respond to abuse and neglect.

This will be achieved by ensuring the right people are recruited through safe recruitment mechanisms, that all staff receive appropriate training and that there are specialist safeguarding adults staff from whom they can obtain advice and support. Maintenance of levels of safeguarding awareness and understanding through training and communication strategies is essential in the current changing environment.

#### Priority - Partnership

Individuals will be confident that professionals will work together to get the best outcomes for them. They will also be confident that staff treat any personal and sensitive information in confidence, only sharing what is helpful and necessary.

The Board will continue to develop joint working practices between and across organisations that promote co-ordinated, timely and effective responses for the individual at risk. The partnership aims to foster a 'one team' approach that places the welfare of individuals before the 'needs' of the system.

This will be achieved by ensuring the working relationships between partner agencies are sustained and developed at a strategic and operational level and links to wider networks or Boards are embedded. Learning from Domestic Homicide Reviews and Safeguarding Adults Reviews will be shared amongst partner agencies and lessons learnt will be integrated into training.

#### Priority – Accountability

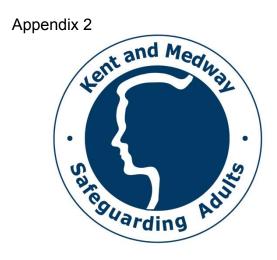
Individuals will receive timely help they need from the person or agency best placed to provide it.

The Board will continue to work to ensure that the roles of all agencies and staff (and their lines of accountability) are clear and explicit. Agencies across the partnership will recognise their responsibilities to each other, act upon them and accept collective responsibility for safeguarding arrangements.

This will be achieved by using a framework to self-assess the work of the Board and partner agencies. The Board will improve the performance management information available on safeguarding adults and this will include feedback from individuals who have been subject to safeguarding adults procedures. Board assurance activity will also include assessing whether risk management is proportionate and coordinated.

#### What the Kent and Medway Safeguarding Adults Board will do next?

- Formulate an Annual Plan for 2016 2017 with measurable outcomes
- Review progress in relation to implementation of the Annual Plan at each Board meeting
- The Board plans will continue to be flexible to accommodate any new emerging national guidance
- Raise awareness of the Strategic Plan by publishing the document
- Review the Strategic Plan and update annually in accordance with the Care Act Guidance



# Kent and Medway Safeguarding Adults Board Annual Plan 2016-2017

"Safeguarding is everyone's responsibility"

#### Annual Plan 2016-17

The Care Act (2014) requires all Safeguarding Adult Boards to produce and publish an Annual Plan.

The Kent and Medway Safeguarding Adults Board (KMSAB) Annual Plan provides information on specific key actions, supporting actions and target timescales required to deliver the Board's vision and priorities. It sets out how the KMSAB seeks to prevent abuse and neglect and how the KMSAB will protect people with care and support needs who are or may be at risk.

The Strategic Annual Plan is informed by a number of different sources including:

- Legislation, specifically the requirements of the Care Act (2014);
- Performance information and data analysis;
- Learning from Safeguarding Adult Reviews.

The Strategic Annual Plan attempts to address weaknesses and respond to opportunities that have been identified. Progress in relation to the plan will be reviewed at each KMSAB meeting. A Red Amber Green (RAG) rating is used to assess progress in relation to each action area. The following index indicates how the rating is decided:

Green	Action on track and progressing to plan, no problems that will impact on schedule. <b>No action required from KMSAB.</b>
Amber	Some problems and or delays with the action but expected to recover. Highlighted to inform KMSAB, to be monitored and reviewed
Red	Major problems and issues threatening the action, behind schedule and not expected to recover. <b>Requires</b> intervention from KMSAB

The Board will report against the delivery of the Strategic Annual Plan in their Annual Report. The Annual Report will be presented to the Kent County Council Adult Social Care and Health Committee, Medway Council Health and Adult Social Care Overview and Scrutiny Committee and to both Kent and Medway Health and Wellbeing Boards and will be placed on the Board's webpages. Paper copies will also be made available.

	Context	Outcome	Action(s)	Lead officer/ group/ agency	Timescale/ Review date	Status (red / amber / green)	September 2016 review
1.1	Care Act 2014  Making Safeguarding Personal	People at risk of harm and/or their representatives are involved in the safeguarding adults process.	a) Utilise performance and audit information to ensure that this outcome is being met. If information indicates a concern, identify remedial actions to present to the Board	a) Local authority and all partner agencies/ Quality Assurance Working Group	a) March 2017	Red Amber Green	
		Outcomes are improved for people at risk of	b) Monitor the impact of advocacy – through feedback from Commissioners	b) Local Authorities/ commissioning staff	b) March 2017		
		harm.	c) Implementation of Easy-Read Safeguarding Meeting Documentation	c) Policy Protocol and Guidance Working Group	c) Dec 2016		
			d) Plan and deliver Multi-Agency Safeguarding Awareness week	d) All partner agencies	d) October 2016		
			e) Utilise information from Making Safeguarding Personal feedback forms to inform training and development as appropriate	e) Quality Assurance Working Group	e) March 2017		

2	2. Protection: In	ndividuals will get l	help and support to report abuse and ne	glect and get help to	take part in the	e safeguard	ing process.
	Context	Outcome	Action(s)	Lead officer/ group/ agency	Timescale/ Review date	Status (red / amber / green)	September 2016 review (Evidence to Support RAG rating)
2.1	Care Act 2014 Safeguarding Vulnerable People in the Reformed NHS: Accountability and assurance framework	The public, service users, patients, carers, workers and volunteers in Kent and Medway are aware of how to report abuse and neglect.	<ul> <li>a) Continue to develop the Kent and Medway Safeguarding website which provides information on how to report abuse and neglect and services to support people in preventing abuse.</li> <li>b) Plan and deliver Multi-Agency Safeguarding Awareness week</li> <li>c) Review and amend KMSAB safeguarding literature</li> </ul>	a) Safeguarding Adults Board Co-ordinator  b) All partner agencies  c) Policy Protocols and Guidance Working Group	a) March 2017 b) October 2017 c) October 2017	Red Amber Green	
2.2	Care Act 2014	Adults at risk who have substantial difficulty in participating in the safeguarding adults process are appropriately supported and represented.	<ul> <li>a) The KMSAB receives regular updates from Local Authorities to provide assurance that the implementation of the Care Act in relation to advocacy has been fulfilled.</li> <li>b) Implementation of Easy-Read Safeguarding Meeting Documentation where appropriate.</li> </ul>	a) Head of Adult Safeguarding, Kent County Council/ Principal Officer Safeguarding Adults, Medway Council b) Policy Protocols and Guidance Working Group	a) June 2016 And December 2016 b) Dec 2016	Red Amber Green	

2.3	Care Act 2014 Safeguarding Vulnerable People in the	Staff in contact with an adult at risk understand their role and responsibility in	a) All KMSAB partner agencies update their single-agency safeguarding adults policy and procedures to reflect multi-agency policy and procedures.	a) All KMSAB members	a) March 2017	Red Amber Green
	Reformed NHS: Accountability and assurance framework	responding to abuse and neglect.	b) Review policies, procedures and practice guidance to reflect emerging legislation, policy or any learning from Safeguarding Adults Reviews/other case reviews.	b) PPG Working Group	b) March 2017	
			c) Policies are developed to support practitioners to respond to allegations of abuse. Workshops are delivered where required to aid the implementation of new policies.	c) PPG Working Group	c) March 2017	
			d) Commission multi agency training and monitor attendance, taking action as appropriate	d) L&D Working Group	d) March 2017	
2.4	Care Act 2014  Care and Support Statutory Guidance. Issued under the Care Act	Adults at risk are protected by the law and get access to justice.	<ul> <li>a) Review the number of Safeguarding Adults Enquiries and their outcomes.</li> <li>b) Work with agencies to ensure appropriate issues are referred to the police. Ensure people are made aware of the need to do this within training</li> <li>c) Continue to commission specialised training for joint investigations with the Police</li> </ul>	a) Kent Police/Local Authorities/ Quality Assurance Working Group b) All KMSAB members c) Learning and Development working Group	a) Quarterly b) March 2017 c) March 2017	Red Amber Green

Protocols.

	Context	Outcome	Action(s)	Lead officer/ group/ agency	Timescale/ Review date	(red / amber / green)	September 2016 review (Evidence to Support RAG rating)
3.1	Care Act 2014, Section 42 and related guidance Safeguarding	Threshold guidance is clear and consistently applied.	a) All member agencies routinely check that work identified as 'adult safeguarding' meets the criteria as outlined in the PPG. Take remedial action if required.	a) All partner agencies	a) March 2017 b) March	Red Amber	
	Vulnerable People in the Reformed NHS: Accountability	Repeated lower level concerns are addressed	b) All member agencies routinely check to ensure that for low level concerns other initiatives such as Quality in Care are explored as options.	b) All partner agencies	2017	Green	
	and assurance framework	alternative quality initiatives, i.e. Quality in Care Policy and	c) Quality Assurance Working Group to explore the possibility of multi-agency audits	c) Quality Assurance Working Group	c) March 2017		

	Context	Outcome	Action(s)	Lead officer/ group/ agency	Timescale/ Review date	(red / amber / green)	September 2016 review (Evidence to Support RAG rating)
4.1	Care Act 2014 Safeguarding Vulnerable People in the Reformed NHS: Accountabilit y and assurance framework	People from across all agencies have the skills and competence to respond to concerns of abuse and neglect.	<ul> <li>a) Learning and Development Working Group to produce an annual work programme and implement a programme of learning including: <ul> <li>Courses for levels B to D</li> <li>Level 4 specialist training</li> <li>Workshops relating to outcomes of SARs and policy initiatives</li> </ul> </li> </ul>	a) Learning and Development Working Group.	a) Quarterly update to Board	Red Amber Green	
4.2	Safeguarding Adults: Advice and Guidance to Directors of Adult Social Services	Members of Kent and Medway Safeguarding Adults Board are supported to fulfil their role	a) Half day development session to be held annually for KMSAB members.  b) Development of a handbook for Board members	a) Safeguarding Adults Board Chair and Co- ordinator.  b) Safeguarding Adults Board Chair and co- ordinator	a) March 2017	Red Amber Green	

4.3	Awareness raising	Raise awareness and engage in work with KSCB and MSCB to ensure that adults who are at risk of sexual exploitation, modern slavery or human	<ul> <li>a) Multi-agency Policy and Procedures for Sexual Exploitation, Modern Slavery and Human Trafficking in Kent and Medway published.</li> <li>b) Liaison with KSCB and MSCB to ensure that policy and work is joined up</li> <li>c) Develop workshops to promote the new policy and procedures.</li> </ul>	a) All KMSAB members. b) Policy, Protocols and guidance working group c) Policy Protocols	a) December 2016 b) March 2017 c) Sept 2017	Red Amber Green
		trafficking are identified and appropriately supported.	d) Monitor performance systems in place to ensure that such cases are being managed appropriately. If this is not the case it is to be referred to the Board with a remedial action plan.	and Guidance Working Group and Learning and Development Working Group d) Quality Assurance Working Group.	d) March 2017	
4.4	Case reviews and learning from cases	All staff are familiar with the Mental Capacity Act (MCA) and its importance in safeguarding people aged over 16.	a) Assurance is provided that all staff working with those aged over 16 years undertake MCA training.	a) Learning and Development Working Group/ All partner agencies./Local implementation network	a) Mar 2017	Red Amber Green

5. Partnership: Individuals will be confident that professionals will work together to get the best outcomes for them. They will also be confident that staff treat any personal and sensitive information in confidence, only sharing what is helpful and necessary. September Status Timescale/ 2016 review Lead officer/ (red / Review Context Outcome Action(s) (Evidence to group/ agency amber / **Support RAG** date green) rating) The KMSAB's 5.1 Care Act 2014 Review and update KMSAB a) Safeguarding a) July 2016 Red **Adults Board** membership, Working Group Chairs constitution is upand Terms of Reference for Chair. to-date and is KMSAB/ Working Groups. **Amber** clear about b) Safeguarding b) July governance and Review the KMSAB's governance **Adults Board** 2016 Green accountability. Chair. arrangements. c) July Review and update KMSAB c) PPG working 2016 Information Sharing Agreement group d) Sept Audit of attendance of partners at d) Quality 2016 Assurance KMSAB and Working Group Working Group meetings e) Policies. e) Sept Review and update Partnership Agreement, ensuring that there are Protocols and 2016 effective links between the KMSAB. Guidance the local Safeguarding Children Working Group Boards, Kent and Medway Health and Wellbeing Board, Community

Safety Partnerships

			f)	The KMSAB to ensures that there are appropriate resources available to support the implementation of the Strategic Annual Plan	f) All KMSAB Members	f) March 2017		
5.2	(2014) Safeguarding	Adults are safeguarded through strong working partnerships.	a)	Each partner agency completes an annual Self Assessment, identifying areas for improvement and have an improvement plan where required, which is monitored on six monthly basis.	a) Quality Assurance Working Group/ All partner agencies.	a) June 2016 Dec 2016	☐ Red ☐ Amber	
	Vulnerable People in the Reformed NHS: Accountability and assurance framework		b)	Safeguarding Adult Reviews commissioned by the KMSAB are presented to ensure recommendations are actioned and learning is shared across the partnerships and integrated into training.	b) Quality Assurance Working Group/ All partner agencies. c) Quality Assurance Working Group/ All partner	b) March 2017 c) Jan – Dec 2016	Green	
				Each partner agency to complete and present to the SAB an Annual Overview Report  Providers contracted with KCC and Medway Council and NHS are clear that they may be asked to undertake enquiries on behalf of the Local Authority and know what to do if they are asked	agencies. d) Head of Commissioning (KCC and Medway)	d) June 2016		

6	6. Accountability	y: Individuals will	receive timely help they need from the p	erson or agency be	st placed to p	rovide it.	
	Context	Outcome	Action(s)	Lead officer/ group/ agency	Timescale/ Review date	Status (red / amber / green)	September 2016 review (Evidence to Support RAG rating)
6.1	Care Act 2014  National reports pertaining to Adult Safeguarding Vulnerable People in the Reformed NHS: Accountability and assurance framework	Safeguarding is embedded at all levels of partner organisations and leaders set an example to others.	<ul> <li>a) KMSAB members provide evidence in Annual Overview Report and Self-Assessment Framework that they have updated their senior executive team/Board about safeguarding adults</li> <li>b) Senior executive teams/Boards have received appropriate training in relation to safeguarding adults.</li> </ul>	a) All KMSAB members. b) All KMSAB members.	a) March 2017 b) March 2017	Red Amber Green	
6.2	Care Act 2014 Care and Support Statutory Guidance. Issued under	To ensure that safeguarding arrangements act to help and protect adults at risk	a) The Board receives a quarterly performance dashboard with exception reporting if concerning patterns or trends are identified.	a) Quality Assurance Working Group.	a) June 2016 & March 2017	Red Amber Green	

	the Care Act (chapter 14 para 104)							
6.3	Care Act 2014	The KMSAB demonstrates strong leadership by challenging itself and the organisations represented on it.	a) b) c)	Self-assessment and dashboard tool completed by partner agencies. Reviewed 6 monthly at the Board Meeting.  Produce an Annual Report.  Monitor risks associated with the KMSAB and Working Groups via this Strategic Annual Plan.  Quality Assurance Working Group to explore the possibility of multiagency audits	<ul> <li>a) All KMSAB members.</li> <li>b) All KMSAB members.</li> <li>c) All KMSAB members.</li> <li>d) Quality Assurance Working Group</li> </ul>	a) June 2016 & March 2017 b) Sep 2016 c) At every KMSAB meeting d) March 2017	Red Amber Green	
6.4	Care Act 2014	The KMSAB learns from Safeguarding Adults Reviews and as a result policy, procedures and practice are improved.	a) b)	Monitor the implementation of the multi-agency recommendations and action plans (once produced) of any local Safeguarding Adults Reviews or other case reviews.  Address learning identified by Safeguarding Adults Reviews in other areas.	a) Quality Assurance Working Group/ All KMSAB members. b) Quality Assurance Working Group/ All KMSAB members.	a) March 2017 b) ongoing	Red Amber Green	